

## FICA QUESTIONNAIRE FOR NATURAL PERSONS

### NATURAL PERSONS

1	What is your full name and contact details (ie the person completing this Questionnaire)?	
2	Are you a South African citizen / permanent resident?	
3	If you are dealing with <b>NRE</b> on behalf of another person (ie a Principal), what is the Principal's full name and contact details?  <b>[Note: even if you are acting on behalf of a Principal, you are still the Client for purposes of FICA and this Questionnaire.]</b>	
4	If you are dealing with <b>NRE</b> on behalf of a Principal, please indicate your authority to do so (ie authorisation letter, power of attorney, or a similar instrument).	
5	If, following your completion of this Questionnaire, someone else will deal with <b>NRE</b> on your behalf (ie a Representative), what is that Representative's full name and contact details?	
6	What is the source of that Representative's authority to deal with <b>NRE</b> on your behalf (ie authorisation letter, power of attorney, or a similar instrument)?	
7	Please describe the type of service you seek from <b>NRE</b> , and also the purpose for which that service is sought.	
8	Will you require <b>NRE</b> to perform the service in terms of a single Transaction (once-off only) or in terms of a Business Relationship (more than one Transaction over a certain period of time)?	

**NATURAL PERSONS**

9	If you require a Business Relationship, how will any payments owed to <b>NRE</b> under the Business Relationship be financed?	
10	Will any of the payments referred to in the previous question involve a payment by you or your Representative of R25 000 or more in cash (ie paper money, coins or traveller's cheques)?	
	<p>Do you or a family member now occupy, or have you or a family member in the past 12 months occupied, any of the following positions in any country other than South Africa? If "yes", please indicate the position that you occupy(ied).</p> <ul style="list-style-type: none"><li>• Head of state</li><li>• Member of the royal family</li><li>• Cabinet member</li><li>• Senior member of a political party</li><li>• Senior judicial officer</li><li>• Senior executive of a state-owned entity</li><li>• High rank in the military</li></ul>	
12	If you responded "yes" to the previous question, please indicate the source of your wealth.	

## NATURAL PERSONS

13 Do you or a family member now occupy, or have you or a family member in the past 12 months occupied, any of the following positions in South Africa? If "yes", please indicate that position that you occupy(ied).

- President or deputy president of South Africa
- Cabinet minister or deputy minister
- Premier of a province
- MEC of a province
- Mayor of a municipality
- Leader of a political party
- Member of a royal family
- Senior traditional leader
- Head, accounting officer or CFO of a national or provincial department
- Manager or CFO of a municipality
- Chairperson, CEO, accounting authority, CFO or chief investment officer of a public entity
- Judge
- Ambassador, high commissioner or other senior representative of a foreign country based in South Africa
- Chairperson of board of directors, chairperson of audit committee, executive officer or CFO of a company doing more than **[INSERT AMOUNT ONCE GAZETTED]**'s worth of business with the government

14 If you responded "yes" to the previous question, please indicate the source of your wealth.

<b>FOR OFFICE USE ONLY</b>	
FULL NAME OF EMPLOYEE ADMINISTERING QUESTIONNAIRE	
Were all required documents as per NRE's RMCP obtained, scanned, saved and verified?	<b>YES / NO</b>
Do you classify this client as a LOW RISK or HIGH RISK client according to NRE's RMCP?	<b>LOW / HIGH</b> (Risk Officer approval)
SIGNATURE	
DATE	